

# PHARMACY / MEDICAL POLICY – 5.01.609 Spravato (esketamine) Nasal Spray

BCBSA Ref. Policy: 5.01.34		
Effective Date:	Sept. 1, 2024	RELATED MEDICAL POLICIES:
Last Revised:	Aug. 12, 2024	None
Replaces:	N/A	

### Select a hyperlink below to be directed to that section.

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### Introduction

Depression is the second leading cause of disability in adults worldwide. There are a number of drug classes used to treat depression. These include monoamine oxidase inhibitors (MAOIs), tricyclic antidepressants (TCAs), selective serotonin reuptake inhibitors (SSRIs), and serotonin-norepinephrine reuptake inhibitors (SNRIs). Individuals who do not adequately respond to therapy after trying multiple antidepressants are often referred to as having treatment-resistant depression. Although there is no standard definition of treatment-resistant depression, Spravato (esketamine) Nasal Spray can help some individuals who have not responded to standard antidepressant treatment. This policy describes when Spravato (esketamine) Nasal Spray for the treatment of depression may be considered medically necessary.

**Note:** The Introduction section is for your general knowledge and is not to be taken as policy coverage criteria. The rest of the policy uses specific words and concepts familiar to medical professionals. It is intended for providers. A provider can be a person, such as a doctor, nurse, psychologist, or dentist. A provider also can be a place where medical care is given, like a hospital, clinic, or lab. This policy informs them about when a service may be covered.

### **Policy Coverage Criteria**

Drug	Medical Necessity
Spravato (esketamine)	Spravato (esketamine) may be considered medically necessary
Nasal Spray	for the treatment of depression when the following criteria are
	met:
	Individual is 18 years of age or older
	AND
	<ul> <li>Individual has medical record documentation of DSM-5</li> </ul>
	diagnostic criteria for major depressive disorder without
	psychotic features (unipolar, not bipolar)
	AND
	<ul> <li>Individual's current episode of depression is moderate to</li> </ul>
	severe as demonstrated by documentation of individual's
	symptoms and their severity or by one or more standardized
	depression rating scales
	AND
	No current or past psychosis
	<ul> <li>AND</li> <li>No current substance use disorder unless in remission</li> </ul>
	(complete abstinence for three months) or confined 24/7 in a hospital or residential treatment facility or similar facility where
	access to alcohol or non-prescribed drugs is not possible
	AND
	<ul> <li>No concurrent use of any hallucinogens/psychedelics</li> </ul>
	AND
	<ul> <li>No concurrent use of any illicit drugs</li> </ul>
	AND
	No concurrent use of any illicit or non-prescribed stimulants
	AND
	No concurrent use of any prescribed stimulants in excess of
	prescribed doses
	AND
	• If the individual uses alcohol or marijuana, the individual agrees
	to either cease use while being treated with Spravato or to not
	use within 24 hours before and 24 hours after each Spravato
	treatment
	AND
	Tried and failed three antidepressants from at least two
	different classes



Drug	Medical Necessity
	OR
	• Tried and failed two antidepressants from two different classes
	plus an augmenting agent
	AND
	Spravato is used in conjunction with an oral antidepressant
	AND
	<ul> <li>The induction dose prescribed (weeks 1 to 4) is limited to 84 mg twice per week</li> </ul>
	AND
	• The maintenance dose prescribed (week 5 and after) is limited
	to 84 mg once weekly
	<b>Note:</b> Failed trial = not effective, or partially but inadequately effective, or initially effective but then lost effectiveness, or intolerable side effects
	A new course of Spravato (esketamine) starting with an
	induction dose may be considered medically necessary for the
	treatment of depression when the following criteria are met:
	Individual previously met criteria for coverage for Spravato and
	had a course of treatment
	AND
	<ul> <li>Individual had a positive response to the previous course of treatment with Spravato</li> </ul>
	AND
	The previous course of treatment with Spravato was
	terminated, and the time since the last Spravato treatment is >
	30 days
	AND
	Individual's current episode of depression is moderate to
	severe as demonstrated by documentation of individual's
	symptoms and their severity or by one or more standardized
	depression rating scales
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	<ul> <li>No current substance use disorder unless in remission</li> </ul>
	(complete abstinence for three months) or confined 24/7 in a



Drug	Medical Necessity
	hospital or residential treatment facility or similar facility where
	access to alcohol or non-prescribed drugs is not possible
	AND
	No current substance use disorder unless in remission
	(complete abstinence for three months) or confined 24/7 in a
	hospital or residential treatment facility or similar facility where
	access to alcohol or non-prescribed drugs is not possible
	AND
	No concurrent use of any hallucinogens/psychedelics
	AND
	No concurrent use of any illicit drugs
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	No concurrent use of any illicit or non-prescribed stimulants
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	No concurrent use of any prescribed stimulants in excess of
	prescribed doses
	AND
	If the individual uses alcohol or marijuana, the individual agrees
	to either cease use while being treated with Spravato or to not
	use within 24 hours before and 24 hours after each Spravato
	treatment
	AND
	Spravato is used in conjunction with an oral antidepressant
	AND
	<ul> <li>The induction dose prescribed (weeks 1 to 4) is limited to 84</li> </ul>
	mg twice per week AND
	<ul> <li>The maintenance dose prescribed (week 5 and after) is limited</li> </ul>
	to 84 mg once weekly
	Spravato (esketamine) may be considered medically necessary
	for the treatment of major depressive disorder (MDD) with
	acute suicidal ideation or behavior when the following criteria
	are met:
	<ul> <li>Individual is 18 years of age or older</li> </ul>
	AND



<ul> <li>Individual has medical record documentation of DSM-5 diagnostic criteria for major depressive disorder without psychotic features (unipolar, not bipolar)</li> <li>AND</li> <li>Individual's current episode of depression is moderate to severe as demonstrated by documentation of individual's symptoms and their severity or by one or more standardized depression rating scales</li> <li>AND</li> <li>No current or past psychosis</li> <li>AND</li> <li>No current substance use disorder unless in remission (complete abstinence for three months) or confined 24/7 in a hospital or residential treatment facility or similar facility where access to alcohol or non-prescribed drugs is not possible</li> <li>AND</li> <li>No concurrent use of any hallucinogens/psychedelics</li> <li>AND</li> <li>No concurrent use of any illicit or non-prescribed stimulants</li> <li>AND</li> <li>No concurrent use of any prescribed stimulants in excess of prescribed doses</li> <li>AND</li> <li>In the individual uses alcohol or marijuana, the individual agrees to either cease use while being treated with Spravato or to not use within 24 hours before and 24 hours after each Spravato treatment</li> <li>AND</li> <li>Individual is at an imminent risk for suicide or attempted suicide as documented by clinical assessment by a mental health professional or psychiatrist</li> <li>AND</li> <li>Spravato will be used in conjunction with an oral antidepressant</li> </ul>	Drug	Medical Necessity
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<ul> <li>Individual is at an imminent risk for suicide or attempted suicide as documented by clinical assessment by a mental health professional or psychiatrist</li> <li>AND</li> <li>Spravato will be used in conjunction with an oral</li> </ul>		
suicide as documented by clinical assessment by a mental health professional or psychiatrist <b>AND</b> • Spravato will be used in conjunction with an oral		
health professional or psychiatrist <b>AND</b> • Spravato will be used in conjunction with an oral		
<ul><li>AND</li><li>Spravato will be used in conjunction with an oral</li></ul>		
Spravato will be used in conjunction with an oral		
AND		



Drug	Medical Necessity
	• The treatment period is $\leq 28$ days
	AND
	• The dose prescribed is limited to 84 mg twice per week
	A new course of Spravato (esketamine) starting with twice
	weekly dosing may be considered medically necessary for the
	treatment of major depressive disorder (MDD) with acute
	suicidal ideation or behavior when the following criteria are
	met:
	<ul> <li>Individual previously met criteria for coverage for Spravato and had a course of treatment</li> </ul>
	AND
	<ul> <li>Individual had a positive response to the previous course of</li> </ul>
	treatment with Spravato
	AND
	<ul> <li>The previous course of treatment with Spravato was</li> </ul>
	terminated, and the time since the last Spravato treatment is >
	30 days
	AND
	Individual's current episode of depression is moderate to
	severe as demonstrated by documentation of individual's
	symptoms and their severity or by one or more standardized
	depression rating scales
	AND
	No current or past psychosis
	AND
	No current substance use disorder unless in remission
	(complete abstinence for three months) or confined 24/7 in a
	hospital or residential treatment facility or similar facility where
	access to alcohol or non-prescribed drugs is not possible
	AND
	No concurrent use of any hallucinogens/psychedelics
	AND
	No concurrent use of any illicit drugs
	AND
	No concurrent use of any illicit or non-prescribed stimulants
	AND



Drug	Medical Necessity
	No concurrent use of any prescribed stimulants in excess of
	prescribed doses
	AND
	• If the individual uses alcohol or marijuana, the individual agrees
	to either cease use while being treated with Spravato or to not
	use within 24 hours before and 24 hours after each Spravato
	treatment
	AND
	Individual is at an imminent risk for suicide or attempted
	suicide as documented by clinical assessment by a mental
	health professional or psychiatrist
	AND
	• Spravato will be used in conjunction with an oral
	antidepressant
	AND
	• The treatment period is $\leq 28$ days
	AND
	• The dose prescribed is limited to 84 mg twice per week

Drug	Investigational
Spravato (esketamine)	All other uses of Spravato (esketamine) for conditions not
Nasal Spray	outlined in this policy are considered investigational, including
	but not limited to:
	Treatment for chronic pain and bipolar depression
	• Use in conjunction with any modality of neuromodulation,
	including but not limited to transcranial magnetic stimulation
	(TMS), electroconvulsive therapy (ECT), and vagus nerve
	stimulation (VNS)
	Use in conjunction with any other formulation of ketamine or
	with any psychedelic drug

Drug	Not Medically Necessary
Spravato (esketamine)	Spravato (esketamine) with more than one
Nasal Spray	provider/group/clinic at the same time is considered not
	medically necessary.



Length of Approval	
Approval	Criteria
Initial authorization (first course or a repeat course)	<ul> <li>Spravato (esketamine) may be approved up to 6 months for the treatment of depression.</li> <li>If Spravato was started under a different plan, medical necessity criteria must have been met at the time when Spravato was started</li> </ul>
	<ul> <li>Spravato (esketamine) may be approved up to 28 days for the treatment of MDD with acute suicidal ideation or behavior.</li> <li>If Spravato was started under a different plan, medical necessity criteria must have been met at the time when Spravato was started</li> </ul>
Re-authorization criteria	<ul> <li>Future re-authorization of Spravato (esketamine) for the treatment of depression may be approved up to 12 months in duration when clinical benefit/response at the time of re-authorization show:</li> <li>Chart notes documenting improvement in signs and symptoms of major depressive disorder</li> <li>AND</li> <li>No current substance use disorder unless in remission (complete abstinence for three months) or confined 24/7 in a hospital or residential treatment facility or similar facility where access to alcohol or non-prescribed drugs is not possible</li> <li>AND</li> <li>No concurrent use of any hallucinogens/psychedelics</li> <li>AND</li> <li>No concurrent use of any illicit drugs</li> <li>AND</li> <li>No concurrent use of any prescribed stimulants</li> <li>AND</li> <li>No concurrent use of any prescribed stimulants in excess of prescribed doses</li> </ul>



Length of Approval		
Approval	Criteria	
	<ul> <li>If the individual uses alcohol or marijuana, the individual agrees to either cease use while being treated with Spravato or to not use within 24 hours before and 24 hours after each Spravato treatment</li> </ul>	
	<ul> <li>AND</li> <li>Spravato is continuing to be used in conjunction with an oral antidepressant</li> <li>AND</li> </ul>	
	<ul> <li>The improvement is being maintained (is not wearing-off)</li> <li>AND</li> </ul>	
	<ul> <li>The individual is not experiencing any serious or dangerous side-effects</li> </ul>	
	AND	
	<ul> <li>The maintenance dose prescribed (week 5 and after) is limited to 84 mg once weekly</li> </ul>	
	<ul> <li>Future re-authorization of Spravato (esketamine) following the treatment of MDD with acute suicidal ideation or behavior may be approved up to 6 months in duration when the following criteria for the treatment of depression are met:</li> <li>Individual is no longer suicidal</li> </ul>	
	AND	
	<ul> <li>The improvement is being maintained (is not wearing-off)</li> <li>AND</li> </ul>	
	<ul> <li>The individual is not experiencing any serious or dangerous side-effects</li> </ul>	
	AND	
	<ul> <li>Tried and failed three antidepressants from at least two different classes prior to starting Spravato OR</li> </ul>	
	<ul> <li>Tried and failed two antidepressants from two different classes plus an augmenting agent prior to starting Spravato</li> <li>AND</li> </ul>	
	<ul> <li>Spravato is continuing to be used in conjunction with an oral antidepressant</li> </ul>	
	AND	



Length of Approval	
Approval	Criteria
	<ul> <li>No current substance use disorder unless in remission (complete abstinence for three months) or confined 24/7 in a hospital or residential treatment facility or similar facility where access to alcohol or non-prescribed drugs is not possible</li> <li>AND</li> </ul>
	<ul> <li>No concurrent use of any hallucinogens/psychedelics</li> <li>AND</li> </ul>
	No concurrent use of any illicit drugs AND
	<ul> <li>No concurrent use of any illicit or non-prescribed stimulants</li> <li>AND</li> </ul>
	<ul> <li>No concurrent use of any prescribed stimulants in excess of prescribed doses</li> </ul>
	AND
	• If the individual uses alcohol or marijuana, the individual agrees to either cease use while being treated with Spravato or to not use within 24 hours before and 24 hours after each Spravato treatment
	AND
	• The maintenance dose prescribed (week 5 and after) is limited to 84 mg once weekly
	<b>Note:</b> Failed trial = not effective, or partially but inadequately effective, or initially effective but then lost effectiveness, or intolerable side effects

#### Additional Information

#### For Major Depressive Disorder:

- A diagnosis code that includes a numeral for severity, or a diagnosis with the descriptor moderate or severe, is not sufficient to establish severity; documentation of symptoms and their severity or score on a standardized rating scale is required.
- Second generation antipsychotics, lithium, and anticonvulsants that are utilized as mood stabilizers are considered to be augmenting agents, not antidepressants.
- Trials of antidepressants that are commonly used for insomnia are considered to be failed trials only if the dose was at minimum antidepressant dose (amitriptyline: 150 mg; doxepin: 150 mg; mirtazapine: 15 mg; trazodone: 150 mg), not at lower doses that are used for insomnia, or, if



#### **Additional Information**

titration up to an antidepressant dose was planned but could not be done due to intolerable adverse effects.

#### **Documentation Requirements**

The individual's medical records submitted for review for all conditions should document that medical necessity criteria are met. The record should include the following:

- Office visit notes that contain the diagnosis, relevant history, physical evaluation, and medication history.
- For failed medication trials, each medication that failed must be individually identified, and the reason or reasons for failure must be specified for each medication. Note that addition of a second antidepressant to an antidepressant trial is considered to be addition of an augmenting agent, not a separate antidepressant trial.
- For each failed medication trial, documentation of at least 30 continuous days with no or inadequate improvement unless stopped sooner because of intolerable adverse effects.
- The oral antidepressant that will be used in conjunction with Spravato must be specifically named.

### Coding

Code	Description	
HCPCS		
G2082	Office or other outpatient visit for the evaluation and management of an established patient that requires the supervision of a physician or other qualified health care professional and provision of up to 56 mg of esketamine nasal self-administration, includes 2 hours post administration observation	
G2083	Office or other outpatient visit for the evaluation and management of an established patient that requires the supervision of a physician or other qualified health care professional and provision of greater than 56 mg esketamine nasal self-administration, includes 2 hours post administration observation	
S0013	Esketamine, nasal spray, (Spravato) 1 mg	

**Note:** CPT codes, descriptions and materials are copyrighted by the American Medical Association (AMA). HCPCS codes, descriptions and materials are copyrighted by Centers for Medicare Services (CMS).



## **Consideration of Age**

Age limits specified in this policy are determined according to the FDA-approved indication.

### **Benefit Application**

Spravato (esketamine) is managed through both the pharmacy and medical benefit. Spravato must be administered under the direct supervision of a healthcare provider and a treatment session consists of nasal administration of Spravato and post-administration observation under supervision.

#### **Evidence Review**

### Background

Depression is the second leading cause of disability in adults worldwide. The prevalence of depression is estimated at 13%. It is estimated that 20%-40% of individuals do not respond or respond minimally to antidepressant monotherapy. Of these, 50% do not respond to the addition of a second antidepressant. Similarly, the STAR\*D trial which included 3,671 individuals with major depressive disorder found approximately one-third of individuals did not respond to two trials of antidepressants.

There is no standardized definition of treatment resistant depression (TRD). In clinical trials with Spravato, TRD was defined as major depressive disorder in individuals who have failed to respond to  $\geq 2$  different antidepressants for the current episode of depression.

# Summary of Evidence

## Efficacy – Treatment-Resistant Depression

Esketamine was studied in five Phase 3 studies. The TRANSFORM 1-3 trials were randomized, double-blind, active-controlled studies conducted over 4 weeks which randomized individuals with moderate to severe, treatment-resistant depression (TRD) to esketamine plus a new oral antidepressant (AD) or placebo plus a new oral AD. The primary outcome was the change from baseline in Montgomery-Asberg Depression Rating Scale (MADRS) total score at 4 weeks.

- The flexible-dosed TRANSFORM-2 trial (N=223) found esketamine plus an AD significantly improved the primary outcome of MADRS total score compared to placebo (-21.4 vs -17.0, p = 0.02). This was the only trial to find a significant outcome in the primary efficacy measure. The sequentially analyzed initial secondary endpoint found no difference between groups in the proportion with clinical response on day 2; therefore, no further outcomes were analyzed.
- The fixed-dose TRANSFORM-1 trial (N=342) found no difference in the primary outcome of change in MADRS score between groups (19.0, -18.8, -14.8 for esketamine 84 mg, 56 mg, and placebo, respectively, p=0.088). Of note, the criteria for minimum important difference in MADRS score (two points) was met.
- The TRANSFORM-3 trial (N=137) was conducted in elderly individuals (≥65 years) and found no significant difference between the esketamine (28-84 mg) plus AD and placebo plus AD groups (-10.0 vs -6.3, p=0.059). Of note, the criteria for minimum important difference in MADRS score (two points) was met.

Additionally, esketamine was studied in two long-term Phase 3 trials.

SUSTAIN-1 was a randomized, double-blind, multicenter, Phase 3, withdrawal study in 297 individuals with treatment-resistant, moderate-severe depression with duration ≥2 years who were randomized to esketamine plus a new oral AD or placebo plus a new oral AD. The study continued until a predetermined number of relapses had occurred (5-7 years). Individuals underwent a 4-week induction phase and a 12-week optimization phase before randomization for the maintenance phase. The primary outcome of median time to relapse among stable remitters found the median time was 273 days with placebo and was not estimable with esketamine. The hazard ratio (HR) for risk of relapse was 0.49 (95% confidence interval [CI] 0.29-0.84). All secondary out-comes (change in Patient Health Questionnaire-9 [PHQ-9], Sheehan Disability Scale [SDS], and Clinical Global Impression-Severity [CGI-S] scores) significantly favored esketamine plus AD over placebo plus AD.

The SUSTAIN-2 trial was a long-term, open-label, Phase 3, safety study which enrolled 603 individuals with TRD in a 48-week maintenance phase. Individuals were treated with esketamine plus a new oral AD. Change in MADRS score seen in the induction phase (-16.4) was maintained throughout the study (maintenance phase change in MADRS score 0.3). Additionally, the responder and remission rates increased over the trial duration (76.5% to 78.4% and 47.2% to 58.2%, respectively). However, the trial discontinuation was quite high (75.2%).

### Efficacy – MDD with Acute Suicidal Ideation or Behavior

Esketamine was evaluated in two identical phase 3 short-term (4-week) randomized, doubleblind, multicenter, placebo-controlled studies, Study 3 (NCT03039192) and Study 4 (NCT03097133), in adults with moderate-to-severe MDD (MADRS total score >28) who had active suicidal ideation and intent. In these studies, individuals received treatment with esketamine 84 mg or placebo nasal spray twice weekly for 4 weeks. After the first dose, a onetime dose reduction to esketamine 56 mg was allowed for individuals unable to tolerate the 84 mg dose. All individuals received comprehensive standard of care treatment, including an initial inpatient psychiatric hospitalization and a newly initiated or optimized oral antidepressant (AD) (AD monotherapy or AD plus augmentation therapy) as determined by the investigator. After completion of the 4-week treatment period with esketamine/placebo, study follow-up continued through day 90.

The baseline demographic and disease characteristics of individuals in Study 3 and Study 4 were similar between the esketamine plus standard of care or placebo nasal spray plus standard of care treatment groups. The median individual age was 40 years (range 18 to 64 years), 61% were female; 73% Caucasian and 6% Black; and 63% of individuals had at least one prior suicide attempt. Prior to entering the study, 92% of the individuals were receiving antidepressant therapy. During the study, as part of standard of care treatment, 40% of individuals received AD monotherapy, 54% of individuals received AD plus augmentation therapy. AD plus augmentation therapy.

The primary efficacy measure was the change from baseline in the MADRS total score at 24 hours after first dose (Day 2). In Study 3 and Study 4, esketamine plus standard of care demonstrated statistical superiority on the primary efficacy measure compared to placebo nasal spray plus standard of care.

The secondary efficacy measure was the change in Clinical Global Impression of Suicidal Severity - Revised (CGI-SS-r) score at 24 hours after first dose (Day 2). The CGI-SS-r is a one-item,



clinician-rated assessment used to rate the current severity of an individual's suicidal ideation and behavior. Scores on the CGI-SS-r range from 0 to 6, with higher scores indicating more severe suicidal ideation and behavior. In Study 3 and Study 4, esketamine plus standard of care did not demonstrate superiority compared to placebo nasal spray plus standard of care in improving CGI-SS-r.

In both Study 3 and Study 4, esketamine's treatment difference compared to placebo was observed starting at 4 hours. Between 4 hours and Day 25, both the esketamine and placebo groups continued to improve; the difference between the groups generally remained but did not appear to increase over time through Day 25.

### Safety

#### **Serious Adverse Events**

Esketamine carries four black box warnings including the risk of sedation, risk of dissociative or perceptual changes, risk of abuse or misuse, and risk of increased suicidal thoughts and behavior. Based on these warnings, esketamine is available through a risk evaluation and mitigation strategy (REMS) program and must be administered by a health care professional. Individuals must be monitored for 2 hours after each treatment session and must be assessed for clinical stability before departure. In clinical trials, symptoms peaked at 40 min and a majority of individuals (93.2% to  $\geq$  87%) were considered discharge ready at 1.5 hours.

- Sedation reported with esketamine was assessed on a 5-point modified observer's alertness/sedation scale which found 49%-61% of individuals were considered sedated following esketamine and 0.3% experienced loss of consciousness.
- Dissociation was assessed with a Clinical Administered Dissociative States Scale (CADSS) which found 61%-75% of individuals were considered to have dissociative symptoms the day of administration. Dissociative symptoms included derealization, depersonalization, distortion of time and space, and illusions.
- Esketamine is the s-enantiomer of ketamine, both of which are Schedule III substances. A cross-over, double-blind abuse potential study in 34 individuals found drug-liking and take drug again scores for 84 and 112 mg esketamine were similar to those seen with IV ketamine (0.5 mg/kg over 40 minutes). While misuse of esketamine did not occur during clinical trials, misuse of ketamine is well-documented. Long-term cognitive and memory impairment have been reported with ketamine abuse/misuse.

• Increased risk of suicidal thoughts and behavior has been noted in pediatric and young adult individuals (<24 years) in a pooled analysis of placebo-controlled, randomized controlled trials (RCTs) across classes of antidepressants. Esketamine is not approved in pediatric individuals. Close monitoring of depressive symptoms and suicidality is recommended.

Contraindications to esketamine include aneurysmal vascular disease, arteriovenous malformation, history of intracerebral hemorrhage, and hypersensitivity to esketamine, ketamine, or excipients.

#### **Other Adverse Events**

Adverse events occurring in  $\geq$ 5% of individuals and at least twice as frequently with esketamine than placebo include dissociation (41%), dizziness (29%), nausea (28%), sedation (23%), vertigo (23%), hypoesthesia (18%), anxiety (13%), lethargy (11%), increased BP (10%), vomiting (9%), and feeling drunk (5%).

- The mean placebo-adjusted increase in systolic and diastolic BP (SBP and DBP) seen with esketamine were 7-9 mmHg and 4-6 mm Hg, respectively, at 40 minutes post dose. The long-term SUSTAIN-2 trial found increases of SBP ≥180 mm Hg or DBP ≥110 mm Hg occurred in 4.1% of individuals.
- Nausea and vomiting occurred on the day of administration with a mean duration of 1 hour. These symptoms decreased with subsequent infusions.
- Dysgeusia was reported in three clinical trials (27%, 26.1%, and 10.2-11%).
- Death due to suicide occurred in two individuals across all Phase III trials, both in the SUSTAIN-2 trial.

Warnings include sedation, dissociation, abuse/misuse, REMS program, suicidal thoughts/behaviors in adolescents and young adults, increased BP, cognitive impairment, impaired ability to drive/operate machinery, ulcerative or interstitial cystitis, and embryo-fetal toxicity (may case fetal harm).

#### Tolerability

The requirement to administer esketamine in a health care setting with 2 hours of monitoring may create adherence issues for individuals. Similarly, the restriction against driving following administration may create compliance difficulties for individuals.

Discontinuation due to AEs with esketamine occurred in 5%-16.4% of individuals in short-term trials and 5%-9.5% in long-term trials.

NCT No.	Trial Name	Planned	Completion
		Enrollment	Date
Ongoing			
NCT05973851	A Randomized, Controlled Trial to Investigate the Effect of a Four Week Intensified Pharmacological Treatment for Major Depressive Disorder Compared to Treatment as Usual in Subjects Who Had a First-time Treatment Failure on Their First-line Treatment	418	Jun 2026
NCT05554627	VA Aripiprazole vs. Esketamine for Treatment of Depression VAST-D II	940	Nov 2028
NCT04599855	A Randomized, Double-Blind, Multicenter, Placebo-Controlled Study to Evaluate the Efficacy, Safety, and Tolerability of Esketamine Nasal Spray, Administered as Monotherapy, in Adult Participants with Treatment-resistant Depression	450	Feb 2024
NCT04829318	Open-label Long-Term Extension Study for Participants with Treatment-resistant Major Depressive Disorder Who Are Continuing Esketamine Nasal Spray Treatment from Study 54135419TRD3013	183	Jul 2024

# **Ongoing Clinical Trials**

NCT: national clinical trial.

## 2020 Update

Reviewed prescribing information for Spravato (esketamine). In July 2020 Spravato received a new indication for the treatment of depressive symptoms in adults with major depressive disorder (MDD) with acute suicidal ideation or behavior. Updated the Summary of Evidence information in policy on new indication and References to include ASPIRE I and ASPIRE II trials.



## 2021 Update

Reviewed prescribing information for Spravato (esketamine) and the use of esketamine for treating depression in adults. Updated the re-authorization criteria to clarify that Spravato must continue to be used in conjunction with an oral antidepressant. Added to policy dosage limits following the FDA approved prescribing information. Added to the investigational table that use of Spravato in conjunction with any modality of neuromodulation, including but not limited to transcranial magnetic stimulation (TMS), electroconvulsive therapy (ECT), and vagus nerve stimulation (VNS), is investigational. Added to policy coverage criteria for the Spravato indication for the treatment of major depressive disorder (MDD) with acute suicidal ideation or behavior when criteria are met.

### 2022 Update

Reviewed prescribing information for Spravato (esketamine) and conducted a literature search from 3/1/21 to 2/28/22 on the use of esketamine for the management of depression and acute suicidal ideation. No new information was identified that would change policy statements. Added coverage criteria for a "new course" of Spravato for the treatment of depression and for MDD with acute suicidal ideation or behavior. Updated criteria for the treatment of depression and for moderate to severe depression and added that no concurrent use of any mind-altering or mood-altering substances that could interfere with the effectiveness of Spravato, including but not limited to alcohol, marijuana, stimulants, and hallucinogens/psychedelics is allowed. Updated the re-authorization criteria for the treatment of depression and for MDD with acute suicidal ideation or behavior adding that individual has no current substance use disorder unless in remission (complete abstinence for a month) and added that no concurrent use of any mind-altering or in remission (complete abstinence for a month) and added that no concurrent use of spravato, including altering or mood-altering substances that could interfere with the effectiveness of Spravato, including altering or mood-altering substances that could interfere with the effectiveness of spravato, including but not limited to alcohol, marijuana, stimulants, and hallucinogens/psychedelics is allowed.

### 2023 Update

Reviewed prescribing information for Spravato (esketamine) and conducted a literature search on the use of esketamine for the management of depression and acute suicidal ideation. Updated criteria to clarify that the individual has medical record documentation of DSM-5 diagnostic criteria for major depressive disorder without psychotic features (unipolar, not



bipolar). Updated criteria to clarify that there is a requirement to have no current substance use disorder unless in remission (complete abstinence for three months) or confined 24/7 in a hospital or residential treatment facility or similar facility where access to alcohol or non-prescribed drugs is not possible. Updated criteria to clarify that the member is required to have no concurrent use of any hallucinogens/psychedelics, no concurrent use of any illicit drugs, no concurrent use of any illicit or non-prescribed stimulants, no concurrent use of any prescribed doses, and if the individual uses alcohol or marijuana, the individual agrees to either cease use while being treated with Spravato or to not use within 24 hours before and 24 hours after each Spravato treatment. Added additional information on major depressive disorder. Updated criteria to clarify that members continuing use of Spravato must meet the medical necessity criteria. Added documentation requirement that the oral antidepressant that will be used in conjunction with Spravato must be specifically named. Updated criteria for new course of Spravato which requires individuals to have had a positive response to the previous course of treatment with Spravato.

#### 2024 Update

Reviewed prescribing information for Spravato (esketamine) and conducted a literature search on the use of esketamine for the management of depression and acute suicidal ideation. Removed the stipulation that addition of a second antidepressant to an antidepressant trial is considered to be addition of an augmenting agent, not a separate antidepressant trial.

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### History

Date	Comments
07/01/19	New policy, approved June 11, 2019. Add to Prescription Drug section. Spravato (esketamine) Nasal Spray may be considered medically necessary when criteria are met, considered investigational when criteria are not met.
06/01/20	Coding update. Added HCPCS codes G2082 and G2083.
01/01/21	Annual Review, approved December 17, 2020. Removed HCPCS code J3490 and added HCPCS code S0013. No changes to policy statements.
01/01/22	Annual Review, approved December 14, 2021. Added coverage for the treatment of MDD with acute suicidal ideation or behavior when criteria are met. Updated the depression re-authorization criteria to require that Spravato is continued to be used in conjunction with an oral antidepressant. Updated the initial authorization and re-authorization criteria for depression adding dosage limits based on the prescribing information. Added to the investigational table that use of Spravato in conjunction with any modality of neuromodulation is investigational.
06/01/22	Annual Review, approved May 10, 2022. Added coverage criteria for a "new course" of Spravato for the treatment of depression and for MDD with acute suicidal ideation or behavior. Updated criteria for the treatment of depression and for the treatment of acute suicidal ideation or behavior adding additional info to define moderate to severe depression and added that no concurrent use of any mind-altering or mood-altering



Date	Comments
	substances that could interfere with the effectiveness of Spravato, including but not limited to alcohol, marijuana, stimulants, and hallucinogens/psychedelics is allowed. Updated the re-authorization criteria for the treatment of depression and for MDD with acute suicidal ideation or behavior adding that patient has no current substance use disorder unless in remission (complete abstinence for a month) and added that no concurrent use of any mind-altering or mood-altering substances that could interfere with the effectiveness of Spravato, including but not limited to alcohol, marijuana, stimulants, and hallucinogens/psychedelics is allowed. Policy updates become effective for dates of service on or after September 2, 2022.
11/01/22	Interim Review, approved October 11, 2022. Updated criteria for the treatment of depression changing to three antidepressants from at least two different classes or two antidepressants from two different classes plus an augmenting agent. For the treatment of depression updated to define remission as complete abstinence for three months. Added to the Investigational table use in conjunction with any other formulation of ketamine or with any psychedelic drug. Added a Not Medically Necessary table and included that Spravato with more than one provider/group/clinic at the same time is considered not medically necessary. In the Documentation Requirement table added that for failed medication trials, each medication that failed must be individually identified, and the reason or reasons for failure must be specified for each medication trial, documentation of at least 30 continuous days with no or inadequate improvement unless stopped sooner because of intolerable adverse effects. Policy updates become effective for dates of service on or after February 3, 2023. Changed the wording from "patient" to "individual" throughout the policy for standardization.
05/01/23	Annual Review, approved April 11, 2023. Updated criteria to clarify that the individual has medical record documentation of DSM-5 diagnostic criteria for major depressive disorder without psychotic features (unipolar, not bipolar). Updated criteria to clarify that there is a requirement to have no current substance use disorder unless in remission (complete abstinence for three months) or confined 24/7 in a hospital or residential treatment facility or similar facility where access to alcohol or non-prescribed drugs is not possible. Updated criteria to clarify that the member is required to have no concurrent use of any hallucinogens/psychedelics, no concurrent use of any illicit drugs, no concurrent use of any illicit or non-prescribed stimulants, no concurrent use of any prescribed stimulants in excess of prescribed doses, and if the individual uses alcohol or marijuana, the individual agrees to either cease use while being treated with Spravato or to not use within 24 hours before and 24 hours after each Spravato treatment. Added additional information on major depressive disorder. Updated criteria to clarify that members continuing use of Spravato must meet the medical necessity criteria. Added documentation requirement that the oral antidepressant that will be used in conjunction with Spravato must be specifically named.



Date	Comments
08/01/23	Interim Review, approved July 11, 2023. Updated criteria for new course of Spravato which requires individuals to have had a positive response to the previous course of treatment with Spravato.
08/01/24	Interim Review, approved July 9, 2024. Removed the stipulation that addition of a second antidepressant to an antidepressant trial is considered to be addition of an augmenting agent, not a separate antidepressant trial.
09/01/24	Annual Review, approved August 12, 2024. No changes to policy statements.

**Disclaimer**: This medical policy is a guide in evaluating the medical necessity of a particular service or treatment. The Company adopts policies after careful review of published peer-reviewed scientific literature, national guidelines and local standards of practice. Since medical technology is constantly changing, the Company reserves the right to review and update policies as appropriate. Member contracts differ in their benefits. Always consult the member benefit booklet or contact a member service representative to determine coverage for a specific medical service or supply. CPT codes, descriptions and materials are copyrighted by the American Medical Association (AMA). ©2024 Premera All Rights Reserved.

**Scope**: Medical policies are systematically developed guidelines that serve as a resource for Company staff when determining coverage for specific medical procedures, drugs or devices. Coverage for medical services is subject to the limits and conditions of the member benefit plan. Members and their providers should consult the member benefit booklet or contact a customer service representative to determine whether there are any benefit limitations applicable to this service or supply. This medical policy does not apply to Medicare Advantage.



# Premera 🚭 hmo

#### Discrimination is Against the Law

Premera Blue Cross HMO (Premera HMO) complies with applicable Federal and Washington state civil rights laws and does not discriminate on the basis of race, color, national origin, age, disability, sex, gender identity, or sexual orientation. Premera HMO does not exclude people or treat them differently because of race, color, national origin, age, disability, sex, gender identity, or sexual orientation. Premera HMO provides free aids and services to people with disabilities to communicate effectively with us, such as gualified sign language interpreters and written information in other formats (large print, audio, accessible electronic formats, other formats). Premera HMO provides free language services to people whose primary language is not English, such as qualified interpreters and information written in other languages. If you need these services, contact the Civil Rights Coordinator. If you believe that Premera HMO has failed to provide these services or discriminated in another way on the basis of race, color, national origin, age, disability, sex, gender identity, or sexual orientation, you can file a grievance with: Civil Rights Coordinator - Complaints and Appeals, PO Box 91102, Seattle, WA 98111, Toll free: 855-332-4535, Fax: 425-918-5592, TTY: 711, Email AppealsDepartmentInguiries@Premera.com. You can file a grievance in person or by mail, fax, or email. If you need help filing a grievance, the Civil Rights Coordinator is available to help you. You can also file a civil rights complaint with the U.S. Department of Health and Human Services, Office for Civil Rights, electronically through the Office for Civil Rights Complaint Portal, available at https://ocrportal.hhs.gov/ocr/portal/lobby.jsf, or by mail or phone at: U.S. Department of Health and Human Services, 200 Independence Ave SW, Room 509F, HHH Building, Washington, D.C. 20201, 1-800-368-1019, 800-537-7697 (TDD). Complaint forms are available at http://www.hhs.gov/ocr/office/file/index.html. You can also file a civil rights complaint with the Washington State Office of the Insurance Commissioner, electronically through the Office of the Insurance Commissioner Complaint Portal available at https://www.insurance.wa.gov/file-complaint-or-check-your-complaint-status, or by phone at 800-562-6900, 360-586-0241 (TDD). Complaint forms are available at https://fortress.wa.gov/oic/onlineservices/cc/pub/complaintinformation.aspx.

#### Language Assistance

<u>ATENCIÓN</u>: si habla español, tiene a su disposición servicios gratuitos de asistencia lingüística. Llame al 844-722-4661 (TTY: 711). 注意:如果您使用繁體中文,您可以免費獲得語言援助服務。請致電 844-722-4661 (TTY: 711)。 <u>CHÚÝ</u>: Nếu bạn nói Tiếng Việt, có các dịch vụ hỗ trợ ngôn ngữ miễn phí dành cho bạn. Gọi số 844-722-4661 (TTY: 711). <u>주의</u>: 한국어를 사용하시는 경우, 언어 지원 서비스를 무료로 이용하실 수 있습니다. 844-722-4661 (TTY: 711) 번으로 전화해 주십시오. <u>BHИМАНИЕ</u>: Если вы говорите на русском языке, то вам доступны бесплатные услуги перевода. Звоните 844-722-4661 (телетайп: 711). <u>PAUNAWA</u>: Кипg nagsasalita ka ng Tagalog, maaari kang gumamit ng mga serbisyo ng tulong sa wika nang walang bayad. Титаwag sa 844-722-4661 (TTY: 711). <u>УВАГА!</u> Якщо ви розмовляєте українською мовою, ви можете звернутися до безкоштовної служби мовної підтримки.

Телефонуйте за номером 844-722-4661 (телетайп: 711).

ملحوظة؛ إذا كنت تتحدث اذكر اللغة، فإن خدمات المساعدة اللغوية تتوافر لك بالمجان. اتصل برقم 842-722-4661 (رقم هاتف الصم والبكم: 711). <u>पिਆਨ ਦਿਉ</u>: ਜੇ ਤੁਸੀਂ ਪੰਜਾਬੀ ਬੋਲਦੇ ਹੋ, ਤਾਂ ਭਾਸ਼ਾ ਵਿੱਚ ਸਹਾਇਤਾ ਸੇਵਾ ਤੁਹਾਡੇ ਲਈ ਮੁਫਤ ਉਪਲਬਧ ਹੈ। 844-722-4661 (TTY: 711) 'ਤੇ ਕਾਲ ਕਰੋ। <u>ACHTUNG</u>: Wenn Sie Deutsch sprechen, stehen Ihnen kostenlos sprachliche Hilfsdienstleistungen zur Verfügung. Rufnummer: 844-722-4661 (TTY: 711). <u>ਪਿਨਕੁਪ</u>: ຖ້າວ່າ ທ່ານເວົ້າພາສາ ລາວ, ການບໍລິການຊ່ວຍເຫຼືອດ້ານພາສາ, ໂດຍບໍ່ເສັງຄ່າ, ແມ່ນມີພ້ອມໃຫ້ທ່ານ. ໂທຣ 844-722-4661 (TTY: 711). <u>ATANSYON</u>: Si w pale Kreyòl Ayisyen, gen sèvis èd pou lang ki disponib gratis pou ou. Rele 844-722-4661 (TTY: 711).

<u>ATTENTION</u> : Si vous parlez français, des services d'aide linguistique vous sont proposés gratuitement. Appelez le 844-722-4661 (ATS : 711). <u>UWAGA</u>: Jeżeli mówisz po polsku, możesz skorzystać z bezpłatnej pomocy językowej. Zadzwoń pod numer 844-722-4661 (TTY: 711).

ATENÇÃO: Se fala português, encontram-se disponíveis serviços linguísticos, grátis. Ligue para 844-722-4661 (TTY: 711).

<u>ATTENZIONE</u>: In caso la lingua parlata sia l'italiano, sono disponibili servizi di assistenza linguistica gratuiti. Chiamare il numero 844-722-4661 (TTY: 711). **توجه**: اگر به زبان فارسی گفتگو می کنید، تسهیلات زبانی بصورت رایگان برای شما فراهم می باشد. با (TTY: 711) 844-722-4661 تماس بگیرید.